THE REAL ESTATE SERVICES ACT

Application for Registration of a Personal Real Estate Corporation

SECURITIES

COMMISSION

- 1. Full legal name of personal real estate corporation
- 2. Full legal name, including middle name, of controlling individual of the personal real estate corporation
- 3. By checking the boxes below, the controlling individual of the personal real estate corporation, certifies that:
 - **u** the corporation is registered and in good standing under *The Corporations Act*
 - □ all voting shares of the corporation are legally and beneficially owned by the corporation's controlling individual
 - □ the corporation's controlling individual is the president of the corporation and its only director
 - □ the corporation's controlling individual is registered under *The Real Estate Services Act*, or is being registered at the same time as the corporation
- 4. If the personal real estate corporation has owners of shares in capital stock of the corporation, by checking the boxes below, the controlling individual certifies that each other share is legally and beneficially owned by:
 - □ the corporation's controlling individual
 - □ a spouse, common-law partner or child of the controlling individual, within the meaning of *The Income Tax Act* (Canada), or
 - □ a corporation, each share of the capital stock of which is legally and beneficially owned by a person referred to above.

If shares in capital stock are owned by a spouse, common-law partner or child of the controlling individual attach a separate page with the shareholder name(s) and their relationship to the controlling individual. If a corporation owns shares in capital stock, provide the name of the corporation, the name(s) of the shareholders of the corporation and each shareholders' relationship to the controlling individual.



Corporate Disclosure Information

5. Has the corporation ever been convicted of, or is it currently charged with, a criminal or other offence under a federal or provincial enactment, or under the law of any foreign jurisdiction?

□ Yes □ No

If yes, explain:

6. Has the corporation ever been subject to bankruptcy, insolvency or receivership proceedings?

□ Yes □ No

If yes, explain:

7. Has the corporation ever had any court orders or judgments made against it in relation to real estate services, a dealing in insurance, mortgages or securities, or misappropriation, fraud or breach of trust?

□ Yes □ No

If yes, explain:

8. Has the corporation ever been refused a license, or held a license that was suspended or cancelled, under real estate, insurance, mortgage broker or securities legislation in Manitoba or another jurisdiction, or has the corporation ever been disciplined by a professional body?

🛛 Yes 🛛 No

If yes, explain:

Additional Information

If there is insufficient space to answer any of the questions in the space provided on the application, attach a separate sheet and cross-reference your response to the relevant question. Any attached sheets must be signed and dated.

Certification of Controlling Individual

I certify that I am the controlling individual of the personal real estate corporation that is applying for registration and that the information and statements contained in this application and any attachments are true and complete. I further certify that the personal real estate corporation will not conduct any business other than the provision of real estate services.

Name of Controlling Individual

Consent of Brokerage

Pursuant to subsection 3.4(2) of the Real Estate Services Regulation, the personal real estate corporation and its controlling individual must be engaged by the same brokerage.

I, managing registrant of the engaging brokerage, certify that I hereby approve and consent to this application.

Name of Managing Registrant

Brokerage Name

Supporting Documents to be Filed with Application

- **D** Proof of registration, including Articles of Incorporation (Manitoba)
- □ List of officers/directors, including supporting corporation resolution(s)
- $\hfill\square$ List of shareholders, including type and number of shares owned

Fees

The appropriate fee **must** accompany this application. If payment is being made by cheque, it must be made payable to "Minister of Finance" and must not be post-dated. If payment is by credit card, complete the credit card payment form that can be obtained at <u>mbrealestate.ca</u>.

There is a charge-back fee for NSF cheques or any returned cheque (i.e., stop payment). In addition, if registration has already been issued, the registration may be suspended until the full registration fee, including the charge-back fee, has been paid either in cash, money order or certified cheque.

MAILING ADDRESS Manitoba Securities Commission Real Estate Division 500–400 St. Mary Avenue, Winnipeg MB R3C 4K5 ENQUIRIES Tel: 204-945-2562 | Toll-Free 1-800-655-5244 <u>mbrealestate.ca</u> | <u>realestate@gov.mb.ca</u>

Date (DD/MM/YYYY)

Signature

Date (DD/MM/YYYY)

Signature