



THE MANITOBA  
SECURITIES  
COMMISSION

**APPLICATION FOR TRANSFER OF REGISTRATION:  
MORTGAGE SALESPERSON**

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**To: The Registrar  
The Mortgage Brokers Act**

This is an application pursuant to Section 17 of The Mortgage Brokers Act to transfer the registration of the mortgage salesperson named below.

1. \_\_\_\_\_  
Full LEGAL name of salesperson
  
2. \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Address for service (mailing address: civic address or P.O. box #) (City, Town) (Prov.) (Postal Code) (Telephone No.)
  
3. \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Address of mortgage broker (City, Town) (Prov.) (Postal Code) (Telephone No.)
  
4. \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Residence address (civic address) (City, Town) (Prov.) (Postal Code) (Telephone No.)

\_\_\_\_\_  
Salesperson's signature

**PLEASE TAKE NOTE: THIS APPLICATION WILL NOT BE APPROVED BY THE REGISTRAR UNLESS THE REQUIRED TRANSFER FEE IS PAID AND THE REGISTRAR HAS RECEIVED WRITTEN NOTICE OF TERMINATION FROM THE SALESPERSON'S PREVIOUS EMPLOYING BROKER GIVING THE DATE OF AND THE REASON FOR THE TERMINATION.**

**APPLICATION BY BROKER:**

On behalf of the broker, I request that the Registrar approve and record the transfer of the registration of the salesperson named above to my/our brokerage. I certify that on the basis of due and diligent inquiry as to the background of the salesperson named above, the undersigned believes this individual is of good character and reputation and hereby assumes responsibility for the conduct of the salesperson while in my/our employ.

Registered Name of Employing Broker \_\_\_\_\_

Signed on behalf of the broker on the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
Signed of behalf of the Broker

\_\_\_\_\_  
Official position—must be signed on behalf of the broker by the owner, authorized official, a partner or officer or director.